Cryopreservation of great scallop (*Pecten maximus*) sperm: effect of extender, cryoprotectant and cooling rate on sperm survival

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Abstract — To develop selection programs for the great scallop, artificial reproduction of the species needs to be improved by gamete cryobanking. Here, a set of four experiments was designed in order to define the basic elements of a cryopreservation protocol for scallop sperm, including extender composition (experiment 1), cryoprotectant selection (2), cooling rate (3), and assessment of the effects of sperm cryopreservation on sperm motility and fertilization capacity (4). Sperm was collected after serotonin injection (100 µl of a 10-mM solution) and frozen in 500-µl straws.

For the first three experiments, the percentage of motile fresh sperm (80 ± 4%, mean ± SEM) was significantly higher than that observed for thawed sperm (11 ± 2%). During the first experiment, no significant difference of the percentage of motile thawed sperm was observed among the three saline extenders tested: seawater, calcium-free Hanks’ balanced salt solution (Ca-free HBSS) and DCSB4 solution. However, a complementary experiment (2) showed that a significantly higher percentage of motile thawed sperm was recorded using DCSB4 than with Ca-free HBSS as an extender.

During the third experiment, sperm motility was higher when using polyethylene glycol (10% PEG) as a cryoprotectant, than when using dimethyl sulphoxide, DMSO (10 and 20%), methanol (10%) and ethylene glycol (10% EG).

A higher D-larval rate was obtained during the fourth experiment, using fresh sperm than thawed sperm, and using a 500:1 sperm-to-egg ratio compared with a 50:1 ratio. There is some evidence of inter-individual variations in sperm tolerance to cryopreservation. In conclusion, the highest survival of great scallop sperm after thawing was recorded using the following conditions: DCSB4 extender (1:3 vol/vol sperm to extender dilution), PEG cryoprotectant (10%) and straws maintained at 5.5 cm above liquid nitrogen.

Keywords: *Pecten maximus* / sperm / cryopreservation / fertilization

1 Introduction

In Europe, the production of great scallop aquaculture decreased from 434 tonnes in 1996 to 74 tonnes in 2011, with the latter accounting for only 0.12% of the total production of great scallop fisheries (FAO 2014). However, statistics were conflicting because no quantities were reported for some countries (Andersen et al. 2011). Since the first initiative of great scallop culture in the 1970s (Gruy and Beaumont 1972), annual farming production has remained highly variable and the mean survival of 20 mm spat from the egg stage is less than 1% (Andersen et al. 2011). As a consequence, the stable year-round production of great scallop remains a challenge for hatcheries.

One of the difficulties with hatchery rearing of this species, is that of controlled scallop reproduction. The survival of three-day-old larvae ranged from 20 to 41% for scallops conditioned in the hatchery and induced to spawn by thermal shock (Magnesen and Christoffersen 2008). At the industry production scale, a D-larval yield ranging from 10 to 60% was recorded (Robert and Gérard 1999). Although selection programs have been suggested for this species, artificial reproduction of the great scallop needs to be improved by: controlling gamete release, avoiding self-fertilization observed in this functional hermaphrodite which greatly decreases the subsequent growth rate of larvae (Beaumont and Budd 1983) and cryobanking gametes.

In marine species, sperm cryopreservation research has mainly been conducted on fish (Suquet et al. 2000). Since the review by Gwo (2000), however, studies on the cryopreservation of mollusc sperm have become more numerous, mainly concerning oyster species. Eastern oyster (*Crassostrea virginica*) sperm maintained a high motility when diluted in salt extenders: calcium-free Hanks’ balanced solution (Ca-free HBSS) or DCSB4 (Paniagua-Chavez et al. 1998). Concerning the choice of cryoprotectant, the best survival of thawed sperm was observed using ethylene glycol in mussel (*Mytilus galloprovincialis*, Di Matteo et al. 2009), methanol, ethylene...
glycol and DMSO in Pacific oyster (*Crassostrea gigas*, Dong et al. 2007) and propylene glycol in Eastern oyster (Paniagua-Chavez and Tiersch 2001). In Pacific oyster, a simple protocol of sperm cryopreservation was defined, including extender composition, cryoprotectant selection, cooling and thawing rates (Dong et al. 2009). A commercial scale protocol has also been published, and is suitable for routine conservation of Pacific oyster sperm in selection programs (Adams et al. 2009).

In scallop, the biological characteristics of sperm remain poorly studied. Sperm is of the primitive type with an acrosome (De Jonge and Le Pennec 1989). A sperm “maturation process” has been described along the scallop genital tract and the shedding of fully mature sperm was observed after intraglandal serotonin injection or thermal shock (Suquet et al. 2013). Sperm activation in seawater triggers a high respiration rate and sperm movement which lasts for a few hours (Faure et al. 1994a).

Short term storage of scallop sperm was successfully reported at 4 °C, but sperm movement has been observed to decrease from 60 to 0% after 6 days (Micarrelli 1994). At present, no study reports the cryopreservation of scallop sperm. In closely related pectinid species, *Argopecten purpuratus,* the percentage of motile sperm was halved after sperm freezing using 10% DMSO as a cryoprotectant (Espinoza et al. 2010). In the same species, a cooling rate of 9 °C min⁻¹ resulted in 51% motile thawed sperm (Dupre 2004). The aims of the present paper are to define the basic elements of a cryopreservation protocol of scallop sperm, including extender composition, cryoprotectant, and cooling rate, and to assess the effects of sperm cryopreservation on sperm motility and fertilization capacity.

## 2 Materials and methods

### 2.1 Broodstock

Adult scallops (*n* = 100; 183 ± 28 g, mean weight ± SEM; 11.0 ± 0.7 cm, mean length ± SEM) were collected in the bay of Brest (Finistère, France) at the beginning of the natural spawning season (April 2013) which ranged from April to October (Paulet et al. 1988). The scallops were then transferred to the Argenton experimental hatchery (Ifremer) where they were conditioned in 600-L race ways, containing seawater (SW): 17 °C temperature and 34.0 salinity. Great scallops were fed daily on a mixture of *Isochrysis galbana* and *Chaetoceros calcitrans* for one month.

### 2.2 Sperm collection

The scallops were treated with 100 µl of a 10-mM serotonin solution diluted in distilled water and injected into the male gonads. They were then transferred into 2-L beakers filled with 0.5 L seawater (17 °C, 1-µm filtration) where sperm shedding was observed 10 to 30 min after serotonin injection. Sperm samples were pipetted directly from the sperm plume (close to the gonopore) to collect highly concentrated samples, and stored at 4 °C until use.

### 2.3 Sperm cryopreservation

The general procedure of cryopreservation was as follows: sperm samples were diluted in one step in different extenders. For each straw, a 125 µl sperm: 375 µl (extender + cryoprotectant-CPA: 10% polyethylene glycol-PEG, unless otherwise stated) ratio was used. The extenders and CPA were maintained at room temperature (19 °C). Sperm samples were frozen in 500-µl straws (IMV, France, straw triplicate). No equilibration time was allowed. Straws were transferred to a tray floating at 5.5 cm above the surface of liquid nitrogen (LN), and maintained in a polystyrene box. After 10 min, the straws were plunged into LN and maintained in LN during 30 min. Then, the straws were thawed in a water bath (25 °C) for 15 s.

### 2.4 Sperm quality assessment

Sperm motility was estimated as previously described in Suquet et al. (2013): briefly, the sperm was diluted (1:625 dilution rate) in SW (33.7 salinity, 20 °C, 7.72 pH, 5 g L⁻¹ bovine serum albumin, BSA avoiding sperm aggregation when activated). Sperm samples of 7 µl were transferred to a Thomas cell (Brand, Main, Germany) and sperm motility was observed under a phase contrast microscope (Olympus BX 51, X 20 magnification): the percentage of motility was visually assessed on films (Sony camera, 60 frames s⁻¹, 10 s film duration, 3 × 30 spermatozoa). Only scallops with a percentage of motile fresh sperm higher than 60% were selected for the sperm cryopreservation assays.

Sperm concentration was determined by Coulter Counter after 1:500 dilution in SW (in duplicate). The total number of spermatozoa was calculated (sperm concentration × seawater volume in the beaker). Sperm fertilization capacity was assessed in the following way: eggs were obtained by thermal shock (from 18 to 23 °C), according to Gruffydd and Beaumont (1970). As soon as the first eggs were shed, the scallops were carefully washed with SW to avoid self-fertilization and individually transferred in 2-L beakers, filled with 0.5-L SW at 23 °C. Eggs collected from three females were pooled, transferred to a 2-L cylinder filled with SW and counted (3 × 50 µl). Eggs were fertilized following the standardized protocol previously defined by Song et al. (2009) for Pacific oyster eggs and adapted to scallops: triplicate lots of 25,000 eggs each were fertilized in 50 ml SW, using different sperm-to-egg ratios. After 30 min of contact between sperm and eggs, the beakers were filled with 2-L SW. The D-larval yield was estimated for each beaker, 48 h post fertilization: larvae were filtered, transferred in 10 ml seawater and counted (3 × 50 µl). Non-viable larvae (translucent or non-D-shaped) were not included. In order to estimate self-fertilization, triplicate samples of the egg pool were incubated without sperm addition. The D-larval yield was calculated: [(number of D larvae – number of self-fertilized D larvae)/25,000 eggs] × 100.

### 2.5 Experimental design

A set of four cryopreservation experiments was carried out, using five males for each. For the first three experiments, the
percentages of motile fresh and thawed sperm were assessed and for the 4th experiment the D-larval rate was estimated.

The first experiment examined the effect on sperm motility of three extenders: seawater (7.80 pH, 1020 mOsmol L\(^{-1}\)) osmotic pressure; Ca-free HBSS (7.23 pH, 797 mOsmol L\(^{-1}\); composition: Paniagua-Chavez et al. 1998) and DCSB4 solution (7.76 pH, 695 mOsmol L\(^{-1}\); composition: Paniagua-Chavez et al. 1998). Dimethyl sulphoxide (10% DMSO) was used as a CPA.

In the second experiment, the effects of four CPA were evaluated: DMSO (10 and 20%), polyethylene glycol (10% PEG), methanol (10% MET) and ethylene glycol (10% EG); DCSB4 and Ca-free HBSS were used as extenders.

In the third experiment, the effects of three cooling rates were assessed, maintaining straws on a floating tray at different heights above the LN surface (3.0 cm, –163 °C final temperature, 5.5 cm, –88 °C and 8.0 cm, –17 °C; Dostmann Electronic Thermometer, Wertheim, Germany), using DCSB4 as an extender and DMSO (20%) or PEG (10%) as the CPA.

In the fourth experiment, the fertilization capacity of thawed sperm was compared with that of fresh sperm, following the protocol described above (DCSB4 extender, 10% PEG cryoprotectant, tray at 5.5 cm above LN). Three sperm pools (collected from two males each) were used. Two different sperm-to-egg ratios were used: 50:1 and 500:1 (Faure et al. 1994b). Triplicate controls were added (in which no sperm was added to eggs) to estimate the self-fertilization rate.

### 2.6 Statistical analysis

Results are presented as mean ± SEM. The percentage of motile sperm and D-larval yields were arcsin square root transformed and their means compared using Student t-tests or two- or three-way ANOVA. For ANOVA, and when differences were significant, a Fisher a posteriori test was used for means comparisons.

### 3 Results

The total number of spermatozoa individually shed after serotonin injection, ranged from 4.7 to 60.0 × 10\(^9\) (mean: 18.8 ± 7.4 × 10\(^9\), n = 8 great scallops). For the first three experiments, the mean percentage of motile fresh sperm (80 ± 4%) was significantly higher than the percentage of motile thawed sperm (11 ± 2%, \(p = 0.001\)). During the first experiment, the percentage of motile thawed sperm was significantly different among males (\(p = 0.001\)). However, no significant difference in the percentage of motile thawed sperm was observed among the three extenders (6 ± 2% SW, 9 ± 4% Ca-free HBSS and 12 ± 5% DCSB4). On the contrary, in the second experiment a significantly higher percentage of motile thawed sperm was recorded using DCSB4 compared with Ca-free HBSS (\(p = 0.007\), Fig. 1A). Furthermore, higher percentages of motile spermatozoa were observed using 10% PEG or 20% DMSO, than with other CPA (\(p = 0.031\), Fig. 1B). A significant variation in thawed sperm motility was observed between males (\(p = 0.018\)) and there was a significant interaction between males and CPA (\(p = 0.001\)).

During the third experiment, higher sperm motility was found when using 10% PEG as a CPA than when using 20% DMSO (\(p = 0.001\), Fig 2A). Maintaining straws at 5.5 cm above LN led to greater sperm motility than maintaining them at 3.0 or 8.0 cm (\(p = 0.030\), Fig. 2B).

During the fourth experiment, the mean D-larval rate for self-fertilized samples was 4 ± 1%. Significantly higher
D-larval rates were found using fresh sperm than thawed sperm \( (p = 0.001, \text{Fig. 3A}) \) and using 500 sperm for one egg compared with 50 \( (p = 0.001, \text{Fig. 3B}) \). Furthermore, sperm pools 2 and 3 gave significantly higher D-larval rates than pool 1 \( (p = 0.001) \). Significant interactions were also observed between sperm status (fresh vs. thawed) and sperm pool \( (p = 0.001) \) and between sperm-to-egg ratio and sperm pool \( (p = 0.002) \).

4 Discussion

The present study demonstrates that great scallop sperm can be successfully frozen and thawed. The fertilization capacity of thawed sperm remains limited \( (9 \pm 4\% \text{ D-larval rate}, \text{for a sperm-to-egg ratio of 500:1}) \), but was close to the mean D-larval rate obtained in the present study using fresh sperm \( (12 \pm 3\%, \text{same sperm-to-egg ratio}) \). Also working with fresh sperm, Robert and Gérard \( (1999) \) obtained a limited D-larval rate of 29%. These results underline the low control we have of great scallop artificial reproduction.

Self-fertilization is often reported in great scallop: the species is a functional hermaphrodite that emits both sperm and oocytes via a common gonoduct \( (\text{Widowati et al. 1998}) \). Great scallop larvae issued from self-fertilization grow slower than other great scallop larvae, suggesting this low growth could be due to reduced heterozygosity \( (\text{Beaumont and Budd 1983}) \). To decrease self-fertilization rate, the first batch of eggs, which is often contaminated with sperm, must be discarded \( (\text{Andersen et al. 2011}) \). Although this procedure was carried out in the present study, 4 to 5% of the eggs were found to be self-fertilized in the control samples.

In a closely-related scallop species, Argopecten purpuratus, Martinez et al. \( (2007) \) suggested a technique of avoiding self-fertilization by using meiosis reinitiation of striped oocytes and artificial fertilization.

Pure sperm is not suitable for freezing because of its high concentration of spermatozoa, mean that it cannot be mixed homogeneously with a cryoprotectant agent \( (\text{CPA}) \). In great scallop, it is not necessary to inhibit sperm movement before freezing because sperm movement has a long duration, lasting 8 to 10 h depending on males. This long movement duration is sustained by a gradual decrease of sperm intracellular ATP level during the movement phase: 19% of the initial ATP content is exhausted 1 h post-activation and 40% after 3 h \( (\text{Suquet et al. 2013}) \). The effect of extender composition has only been rarely studied in bivalves. At 4 °C, the storage capacity of American oyster sperm did not differ when using seawater, HBSS or DCSB4 solution \( (\text{Paniagua-Chavez et al. 1998}) \). Using Ca-free HBSS, these authors did not observe sperm agglutination, suggesting acrosome damage was prevented. In the present study, the use of different breeders between experiments may explain the higher percentage of motile thawed spermatozoa observed using DCSB4 compared to Ca-free HBSS in the 2nd experiment, while this difference was not recorded during the 1st experiment.

The selection of the optimal CPA is often the main focus of studies dealing with sperm cryopreservation. PEG, the only non-permeating \( (\text{unable to pass through the sperm membrane}) \) CPA used in the present study, was the most efficient substance among those studied in the present work. PEG was also more effective than permeating CPA \( (\text{MET, EG, DMSO, propylene glycol and glycerol}) \) in maintaining the movement of Pacific oyster sperm post-thaw, while a combination of PEG with a permeating CPA \( (\text{DMSO or MET}) \) was effective in promoting sperm motility and fertilization capacity \( (\text{Dong et al. 2005a}) \). DMSO \( (8\%) \) has been used for commercial-scale cryopreservation of Pacific oyster sperm \( (\text{Dong et al. 2005b}) \). The success of DMSO can be explained by its fast penetration into spermatozoa and by its interaction with the phospholipids of the sperm membrane \( (\text{Ogier de Baulny et al. 1996}) \). However, high concentrations of DMSO can be toxic, which could explain the lower percentage of motile thawed spermatozoa observed in the present study, using 20% DMSO compared with 10% PEG. Adding 7% EG led to the highest percentage of motile thawed sperm of mussel and the highest fertilization success \( (\text{Di Matteo et al. 2009}) \). In great scallop, the lowest percentage of motile sperm was noted using MET. Compared to DMSO and propylene glycol, MET was considered as more toxic for oyster \( (\text{Crassostrea rhizophora}) \) sperm \( (\text{Nascimento et al. 2005}) \). The effect of different concentrations of PEG and of a combination of permeating and non permeating cryoprotectants will be further studied in great scallop.

Straws can be subjected to different cooling rates by simply modifying the height of the floating tray above the surface of liquid nitrogen, LN surface. The highest motility of thawed great scallop sperm was obtained when straws were placed at 5.5 cm above the LN \( (\text{corresponding to ~88 °C measured after 5 min}) \). During this phase, sperm survival depends on intracellular ice formation and salt concentration, both parameters being modified by the movement of water out of the cell as the temperature decreased \( (\text{Cloud and Patton 2009}) \). Post thaw motility of Japanese pearl oyster \( (\text{Pinctada fascata martensii}) \) sperm was lower than 5% when straws were maintained at 7.5 cm above LN and increased abruptly to 35–40% at 10 cm
(Kawamoto et al. 2007). Compared to great scallop sperm, a close height above LN of 5.2 cm was used for greenlip abalone (Haliotis laevigata, Liu et al. 2014).

In the present study, we obtained a higher D-larval rate using 500 sperm per egg compared with 50. This suggests that the sperm-to-egg ratio of 1:1 to 5:1 previously reported by Gruffydd and Beaumont (1972) could be an underestimate for great scallop. A 2.5 fold decrease of the fertilization capacity of great scallop sperm was observed in the thawed batch compared with fresh sperm, probably reflecting the lower number of motile thawed sperm relative to the control. A reduction of the fertilization capacity by 30 to 100 fold relative to control was also reported in Pacific oyster (Adams et al. 2004). This reduced capacity can be compensated by increasing the sperm-to-egg ratio.

Additionally, in three of the four experiments reported in this paper, inter-individual variations of post-thawing sperm survival were noted. These results agree with the inter-individual variations of sperm traits previously reported in great scallop (Devauchelle et al. 1994). According to Dong et al. (2005a), this suggests a variation in the tolerance to the cryopreservation process, i.e. sperm individual fertilization capacity after thawing. Studies dealing with mollusc sperm cryopreservation most often focus on technical improvements, including extender composition, cryoprotectant selection and cooling and thawing rates. However, they often lack a complete description of post-thawing morphological and metabolic changes to sperm. As a consequence, this preliminary study will be followed by further research comparing pre- and post-thawing sperm quality.

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